



## **GFI SWAPS EXCHANGE**

### **Notice to Participants**

<b>Notice No.:</b>	<b>14-9</b>
<b>Issue Date:</b>	<b>5/21/2014</b>
<b>Effective Date:</b>	<b>5/21/2014</b>
<b>Subject:</b>	<b>Submission of Participants' Disclosure Documents</b>

**Summary:** GFI Swaps Exchange LLC ("GFI SEF") is notifying its Participants of the email address for submission of Participants' disclosure documents pursuant to Rule 307.

This notice is intended to provide Participants with the email address for the submission of disclosure documents as it pertains to Rule 307 of GFI's SEF Rulebook.

Participants may submit their disclosure documents to the following email address: [SEFdisclosuredocs@gfiexchange.com](mailto:SEFdisclosuredocs@gfiexchange.com). For purposes of clarification, GFI SEF requires that all such notices be submitted within 30 days of a reportable event becoming final. If you have any questions regarding the submission of your company's disclosure documents, please contact a member of the Client Services team.

**Questions regarding this Notice may be addressed to the following:**

<b>Department</b>	<b>Contact Person</b>	<b>Phone</b>
Compliance	Bill Shields, Chief Compliance Officer	(212) 968-4122
Legal	Dan Glatter, General Counsel	(212) 968-2982
Operations	Gene Viti, Head of Operations	(212) 968-6942
Production Support	Ankush Rai, Head of Production Support	(212) 968-4642